



# Artificial Intelligence

## 2. Informed Search

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## **1. Greedy Best-First Search**

## **2. A\* Search**

## **3. Admissible Heuristic Functions**

## **4. Local Search**

## Uniform Cost Search

```
1 uniform-cost-search( $X$ , succ, cost,  $x_0$ ,  $g$ ) :
2 border := { $x_0$ }
3  $c(x_0)$  := 0
4 while border  $\neq \emptyset$  do
5      $x$  := argmin $_{x \in \text{border}}$   $c(x)$ 
6     if  $g(x) = 1$ 
7         return branch( $x$ , previous)
8     fi
9     for  $y \in \text{succ}(x, A)$  do
10         border := border  $\cup$  { $y$ }
11          $c(y)$  :=  $c(x)$  + cost( $x, y$ )
12         previous( $y$ ) :=  $x$ 
13     od
14     border := border  $\setminus$  { $x$ }
15 od
16 return  $\emptyset$ 
17
18 branch( $x$ , previous) :
19  $P$  :=  $\emptyset$ 
20 while  $x \neq \emptyset$  do
21     insert-at-beginning( $P, x$ )
22      $x$  := previous( $x$ )
23 od
24 return  $P$ 
```

## Best-First-Search

```

1 uniform-cost-search( $X$ , succ, cost,  $x_0$ ,  $g$ ) :
2 border := { $x_0$ }
3  $c(x_0) := 0$ 
4 while border  $\neq \emptyset$  do
5      $x := \operatorname{argmin}_{x \in \text{border}} c(x)$ 
6     if  $g(x) = 1$ 
7         return branch( $x$ , previous)
8     fi
9     for  $y \in \text{succ}(x, A)$  do
10         border := border  $\cup$  { $y$ }
11          $c(y) := c(x) + \text{cost}(x, y)$ 
12         previous( $y$ ) :=  $x$ 
13     od
14     border := border  $\setminus$  { $x$ }
15 od
16 return  $\emptyset$ 
17
18 branch( $x$ , previous) :
19  $P := \emptyset$ 
20 while  $x \neq \emptyset$  do
21     insert-at-beginning( $P$ ,  $x$ )
22      $x := \text{previous}(x)$ 
23 od
24 return  $P$ 

```

```

1 best-first-search( $X$ , succ, cost,  $x_0$ ,  $g$ ,  $f$ ) :
2 border := { $x_0$ }
3 while border  $\neq \emptyset$  do
4      $x := \operatorname{argmin}_{x \in \text{border}} f(x)$ 
5     if  $g(x) = 1$ 
6         return branch( $x$ , previous)
7     fi
8     for  $y \in \text{succ}(x, A)$  do
9         border := border  $\cup$  { $y$ }
10        previous( $y$ ) :=  $x$ 
11     od
12     border := border  $\setminus$  { $x$ }
13 od
14 return  $\emptyset$ 

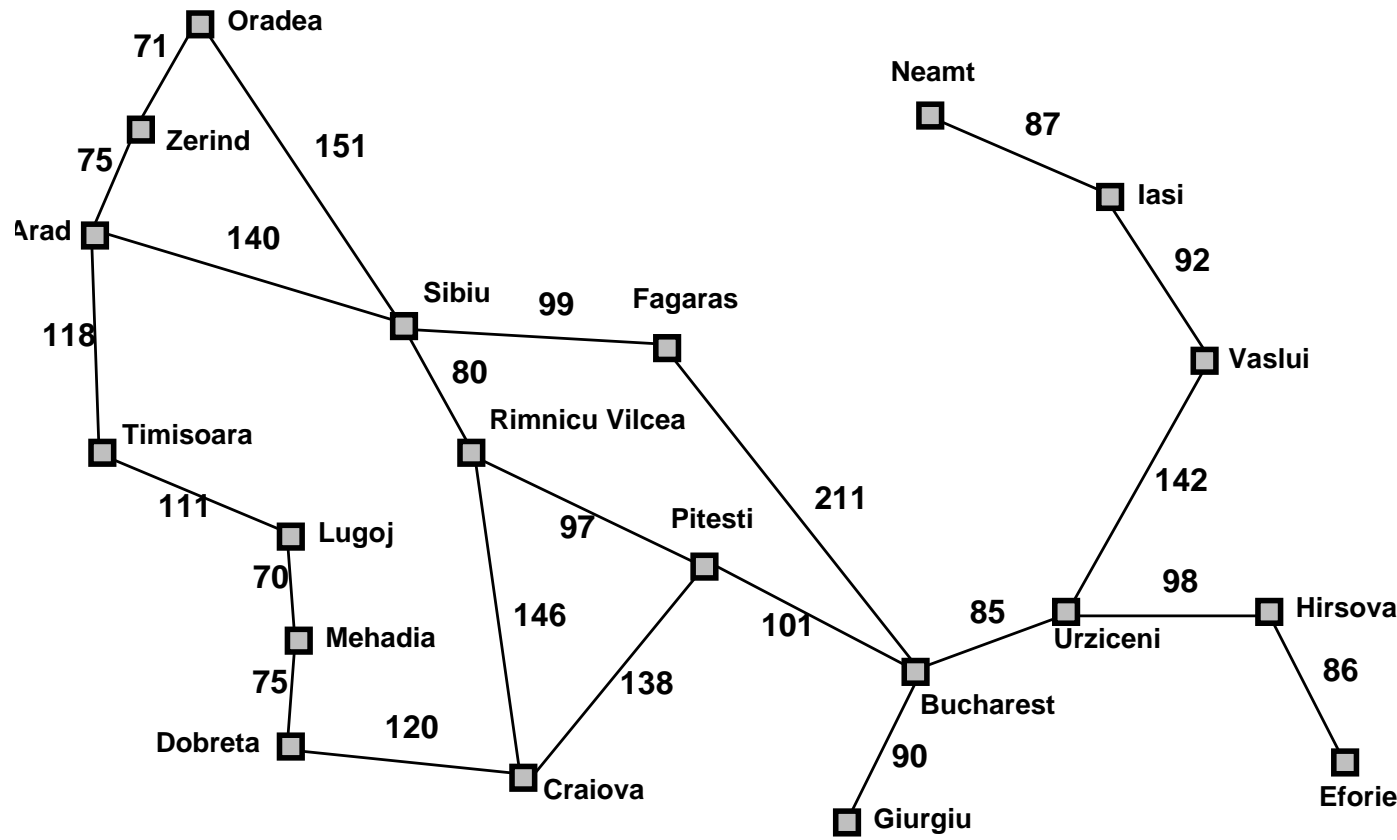
```

$f$ : evaluation function

uniform cost search is special case with

$$f(x) := \text{cost}(\text{branch}(x, \text{previous}))$$

## Additional Information: a Heuristics



Straight-line distance to Bucharest

<b>Arad</b>	366
<b>Bucharest</b>	0
<b>Craiova</b>	160
<b>Dobreta</b>	242
<b>Eforie</b>	161
<b>Fagaras</b>	178
<b>Giurgiu</b>	77
<b>Hirsova</b>	151
<b>Iasi</b>	226
<b>Lugoj</b>	244
<b>Mehadia</b>	241
<b>Neamt</b>	234
<b>Oradea</b>	380
<b>Pitesti</b>	98
<b>Rimnicu Vilcea</b>	193
<b>Sibiu</b>	253
<b>Timisoara</b>	329
<b>Urziceni</b>	80
<b>Vaslui</b>	199
<b>Zerind</b>	374

$$\text{cost} : X \times X \rightarrow \mathbb{R}$$

$$h : X \rightarrow \mathbb{R}$$

## Greedy Best-First Search

Additional Information:

Heuristics  $h$  estimates costs to next goal state.

Greedy best-first search:

Take heuristics as evaluation function:

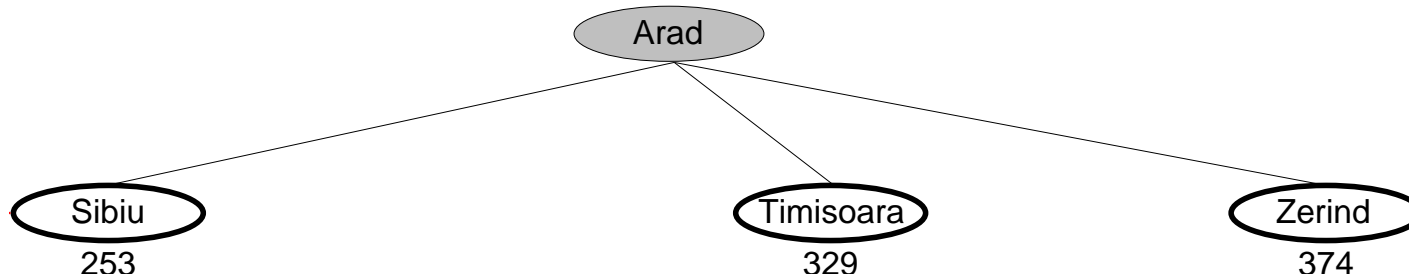
$$f := h$$

## Greedy Best-First Search / Example

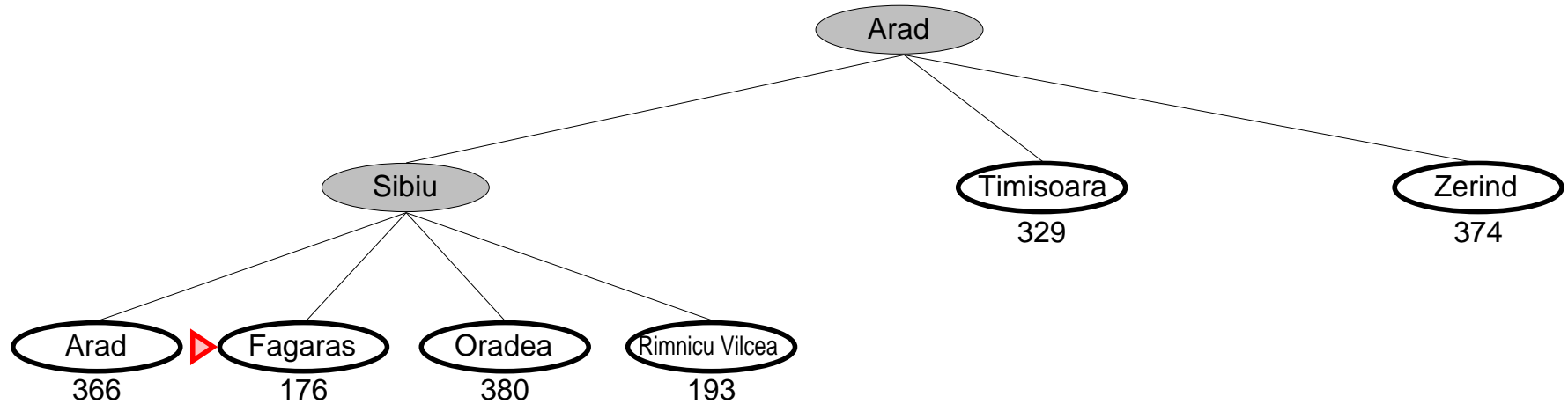
Arad  
366



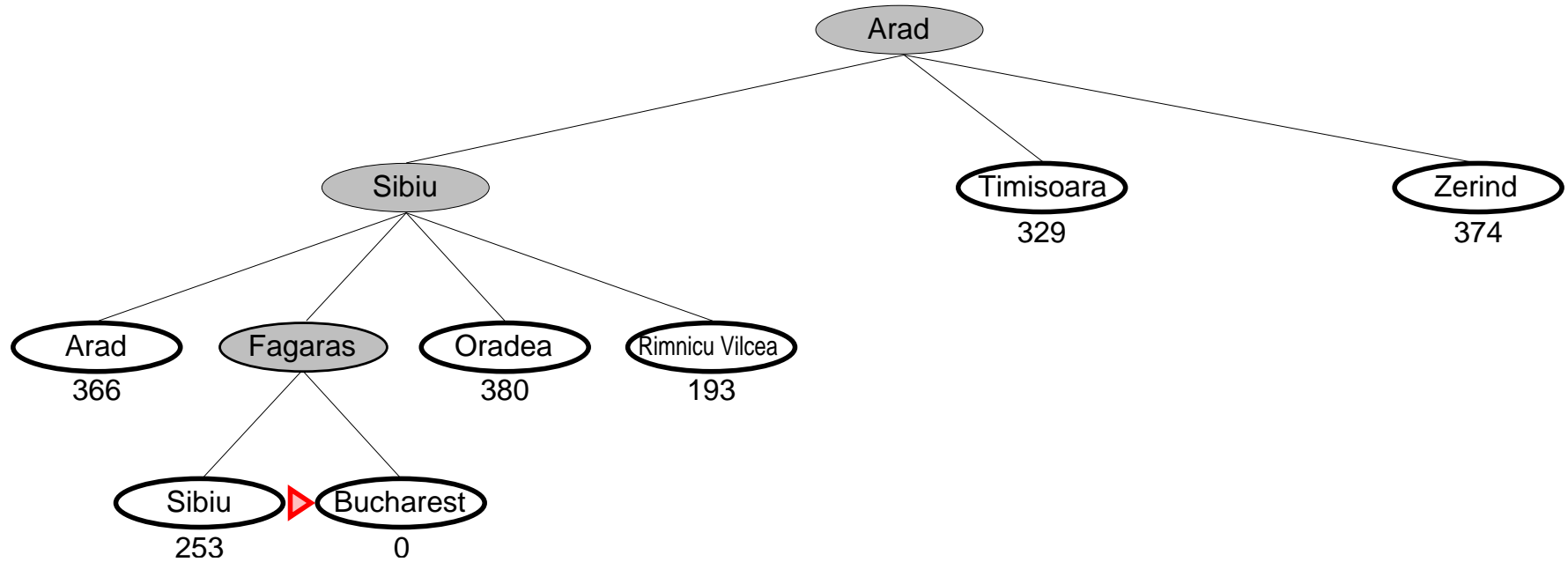
## Greedy Best-First Search / Example



## Greedy Best-First Search / Example



# Greedy Best-First Search / Example



## Greedy Best-First Search

### **Completeness**

no (can get stuck in loops:

e.g., goal Oradea; Iasi → Neamt → Iasi → ...)

yes with repeated state checking

### **Optimality**

no

### **Time complexity**

$O(b^m)$  — but average time complexity may be much better for good heuristics.

### **Space complexity**

same as time complexity as whole search tree is kept in memory.

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## A\* Search

Additional Information:

Heuristics  $h$  estimates costs to next goal state.

Greedy best-first search:

Take heuristics as evaluation function:

$$f := h$$

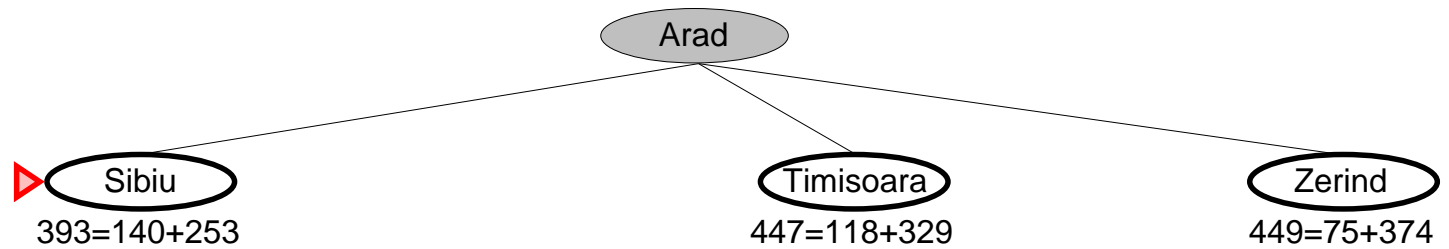
A\* search:

Idea: penalty paths that are already costly.

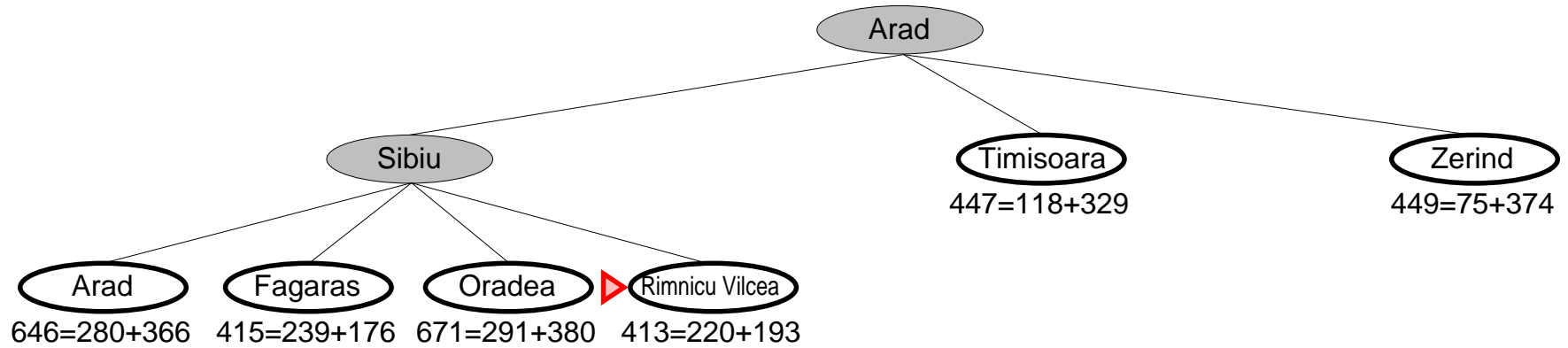
↪ take sum of costs so far and heuristics as evaluation function:

$$f := \text{cost} + h$$

## A\* Search / Example

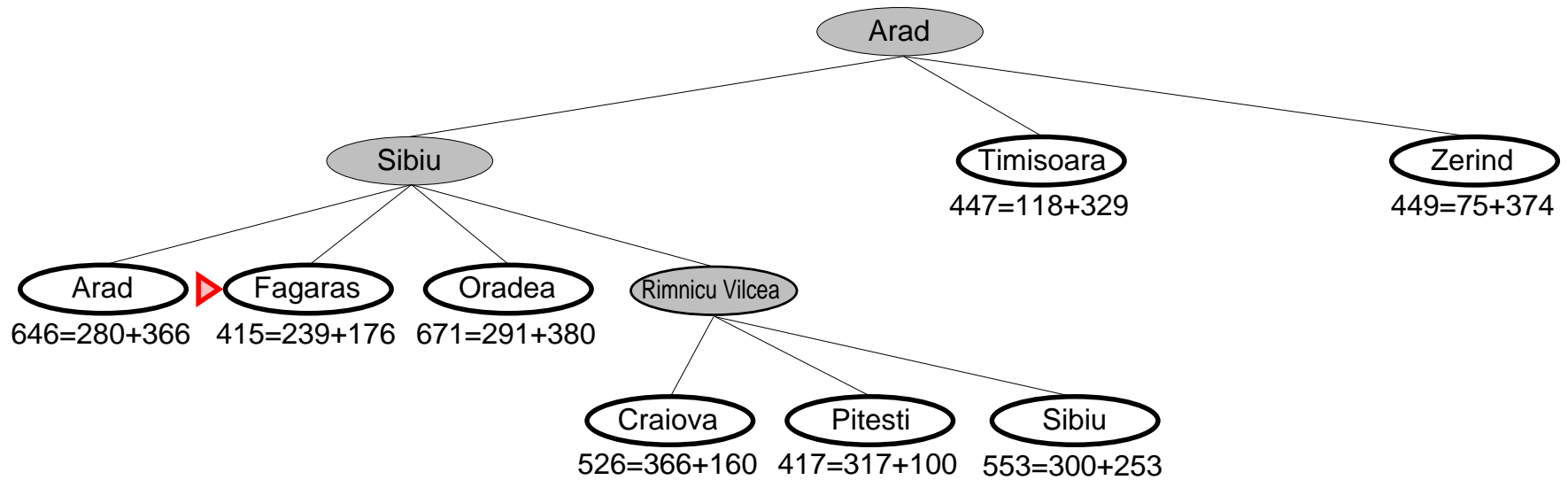


# A\* Search / Example

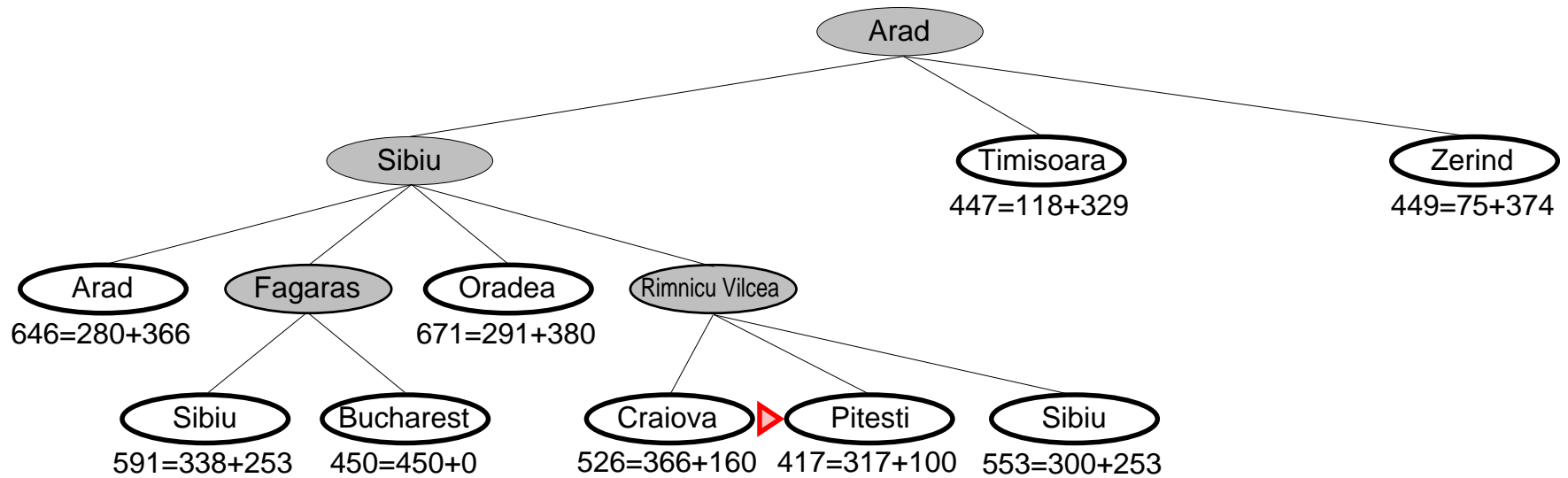




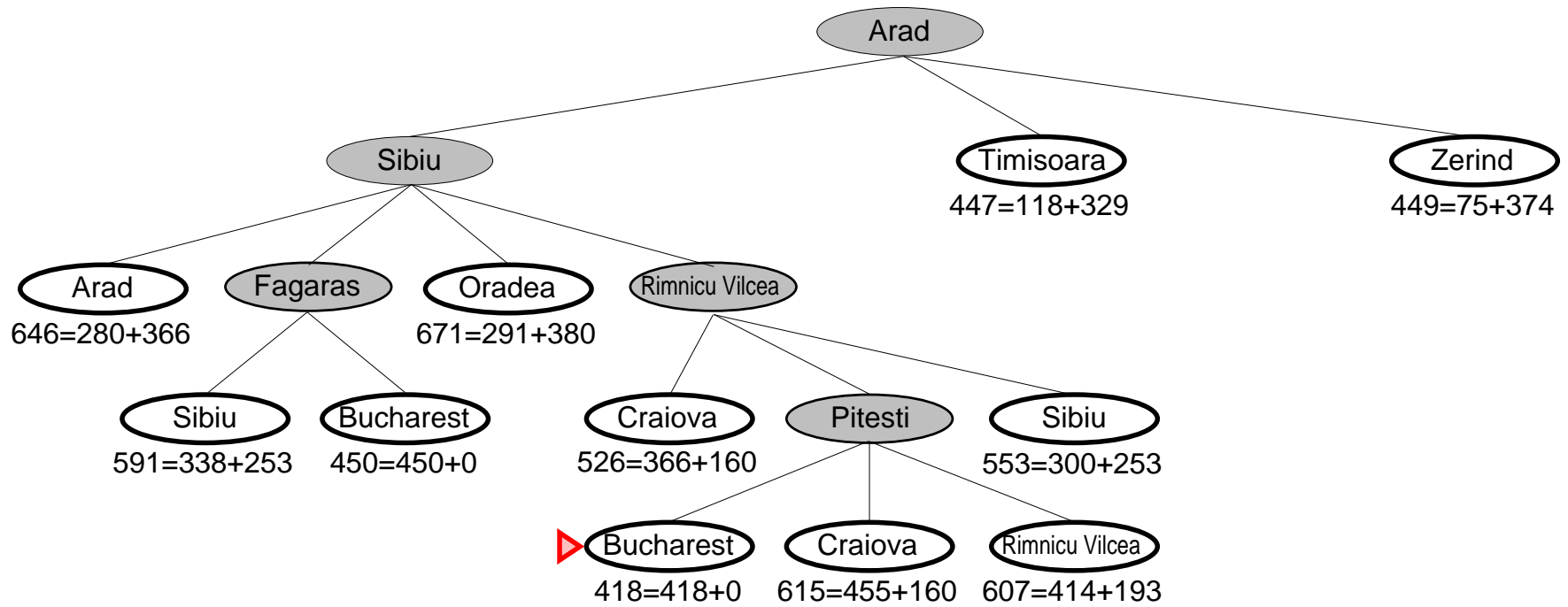
# A\* Search / Example



# A\* Search / Example



# A\* Search / Example



## A\* Search

### Completeness

yes (if  $b$  is finite and step costs are  $\geq \epsilon > 0$ )

$\rightsquigarrow$  there are only finite many states  $x$  with  $f(x) \leq f(\text{goal})$ )

### Optimality

no (with any heuristics)

yes with admissible heuristics (see next page)

### Time complexity

exponential in (relative error in  $h$ )  $\cdot d$ .

### Space complexity

same as time complexity as whole search tree is kept in memory.

## Optimality

Heuristics is **admissible** (“optimistic”, lower bound):

$$h \leq h^*$$

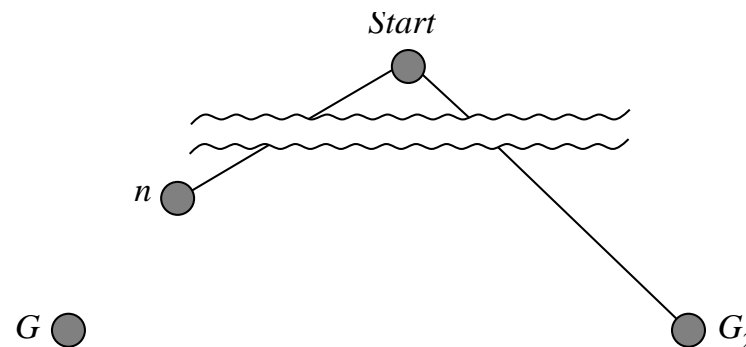
where  $h^*$  denotes the true cost to the next goal.

Lemma: If  $h$  is admissible, A\* search is optimal.

Proof: assume suboptimal  $G_2$  has been found and let  $n$  be any node on an optimal path to optimal solution  $G$ .

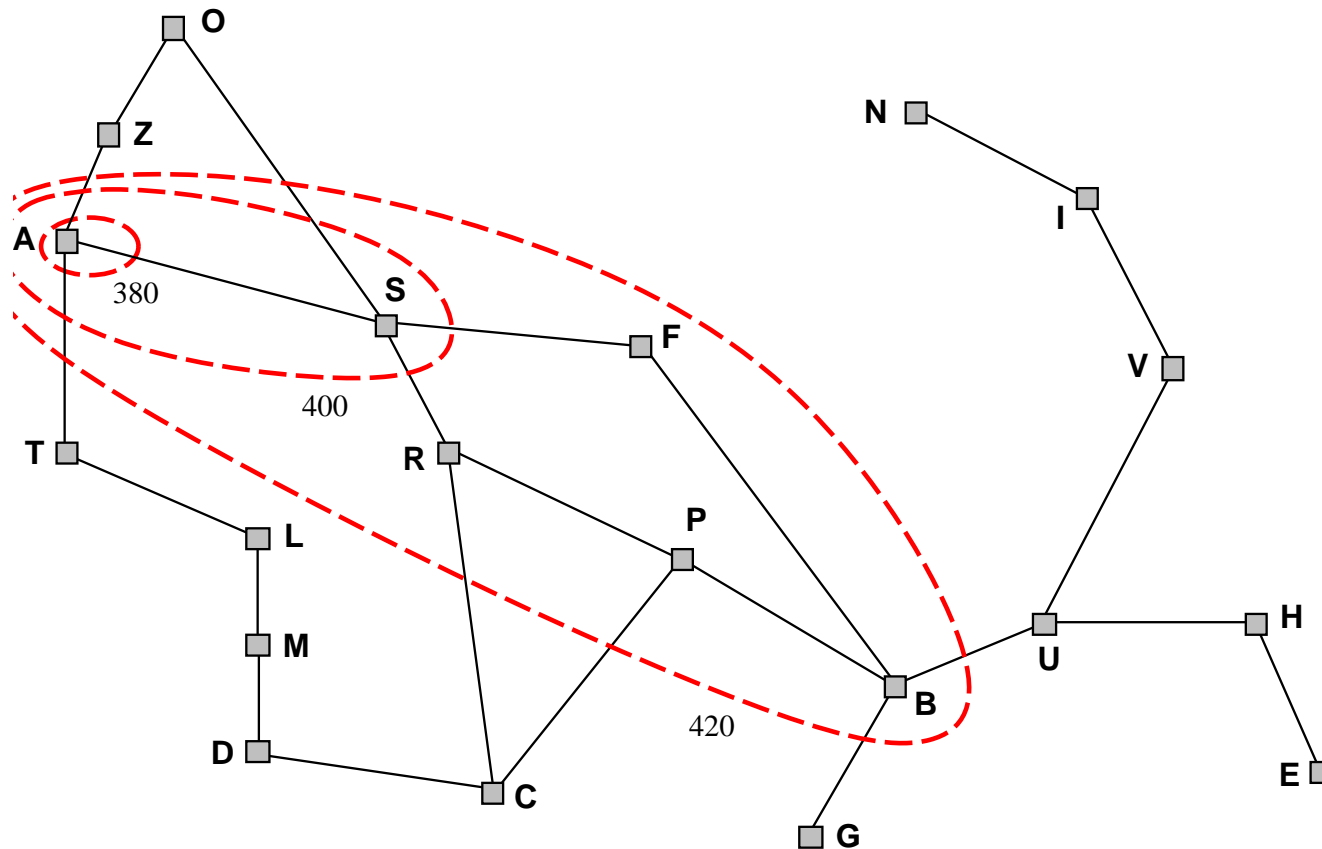
$$f(G_2) = \text{cost}(G_2) > \text{cost}(G) \geq f(n)$$

Hence  $n$  must be visited before  $G_2$ .



# Optimality

A\* expands nodes in layers/contours of increasing  $f$  value.



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## Example 8-Puzzle

7	2	4
5		6
8	3	1

**Start State**

1	2	3
4	5	6
7	8	

**Goal State**



## Example 8-Puzzle

7	2	4
5		6
8	3	1

Start State

1	2	3
4	5	6
7	8	

Goal State

$h_1(x) :=$  number of misplaced tiles

$$h_1(x) = 6.$$

## Example 8-Puzzle

7	2	4
5		6
8	3	1

Start State

1	2	3
4	5	6
7	8	

Goal State

$h_2(x)$  := sum of distances of all misplaced tiles to goal  
Here: distance in required moves, i.e., Manhattan distance.

$$h_2(x) = 4 + 0 + 3 + 3 + 1 + 0 + 2 + 1 = 14$$

## Which heuristics is better?

Size of search tree in nodes for two examples:

algorithm	length of optimal solution	
	$d = 14$	$d = 24$
IDS	3,473,941	$\approx 54,000,000,000$
$A^*(h_1)$	539	39,135
$A^*(h_2)$	113	1,641

For two admissible heuristics  $h_1$  and  $h_2$ :

$h_1$  **dominates**  $h_2$  if  $h_1(x) \geq h_2(x)$  for all  $x$ .

Using a dominant heuristics with  $A^*$  always is faster.

(as only nodes  $x$  with  $f(x) = \text{cost}(x) + h(x) \leq f(x^*)$  are expanded!)

$h := \max(h_1, h_2)$  also is admissible and dominates  $h_1$  and  $h_2$ .

## How to design a heuristics? / 1. Relaxation

Conditions for legal moves:

A tile can move from A to B

(a) if A and B are horizontally or vertically adjacent and B is blank.

Relax conditions to:

(b) if A and B are horizontally or vertically adjacent.

— OR —

(c) if B is blank.

— OR —

(d) if true.

$h_1$  gives the true costs for relaxed problem (d).

$h_2$  gives the true costs for relaxed problem (b).

## How to design a heuristics? / 2. Subproblems

Look at a subproblem, e.g.,  
8-puzzle with four tiles labeled 1 to 4 and four unlabeled tiles.

Each state  $x$  can be projected to a state subproblem $_{1234}(x)$  of the subproblem.

$$\begin{pmatrix} 7 & 2 & 4 \\ 5 & & 6 \\ 8 & 3 & 1 \end{pmatrix} \xrightarrow{\text{project}} \begin{pmatrix} * & 2 & 4 \\ * & & * \\ * & 3 & 1 \end{pmatrix} \xrightarrow{\text{solve}} \begin{pmatrix} 1 & 2 & 3 \\ 4 & * & * \\ * & * & \end{pmatrix}$$

$$h_3(x) := \text{cost}(\text{subproblem}_{1234}(x))$$

— the cost to solve just the subproblem.

(all configurations of such subproblems, called **patterns** and their costs can be precomputed and stored in a database).

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## Local Search

For some problems just the final state is interesting, not the action/state sequence to reach the final state.

Examples:

- 8-queens problem
- traveling salesman problem
- ...

Then it is a waste to keep all the information about solution paths.

Instead:

- keep only one state  $x$ , the **actual** or **current state**
- consider only neighboring states as next actual state  
i.e., reachable by an action from the actual state:  $\text{succ}(x, A)$ .
- needs objective function to steer movement:  $f$   
may need an heuristics if the true objective is not accessible.

Called **local search** or **neighborhood search**.

## Local Search

If the state space consists just of “complete configurations”, local search can be understood as iterative improvement.

In any case:

Local search requires just constant space.



## Example / Traveling Salesman Problem

Problem:

given a graph with labeled edges,  
find a cycle that visits each node exactly once (hamiltonian cycle;  
tour) with minimal sum of edge labels (costs).

State space:

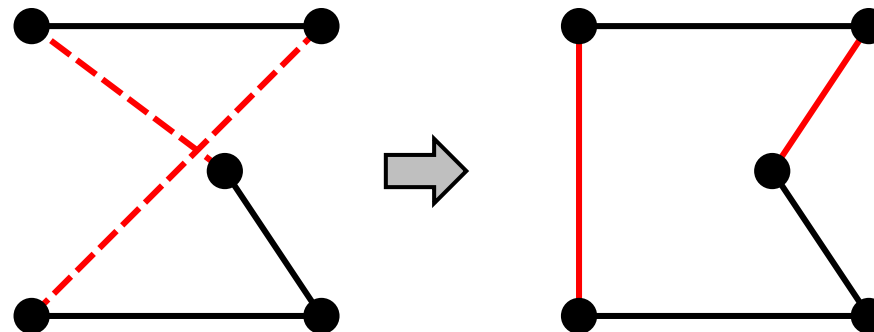
all tours.

Actions:

remove two edges and join the resulting two paths in the other  
possible way (2-Opt; Croes 1958).

Objective function:

cost of resulting tour.



## Example / 8-Queens

State space:

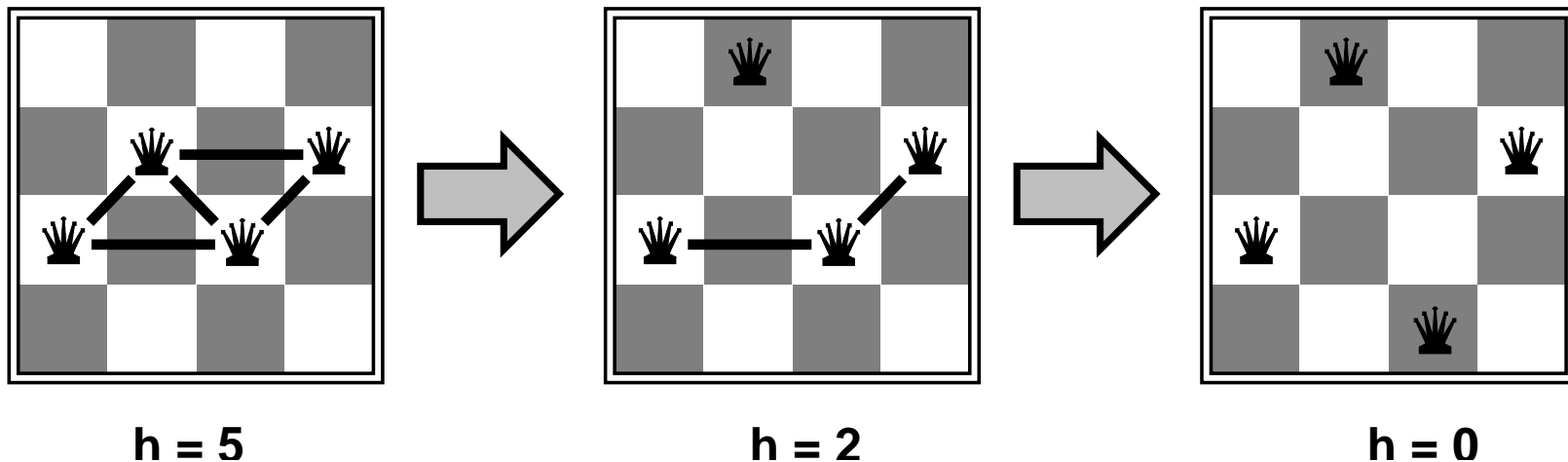
8 queens on the board, each in one column.

Actions:

move a queen to another row in her column.

Heuristics  $h$ :

number of possible attacks.



## Hill-climbing / Steepest Descent/Ascent

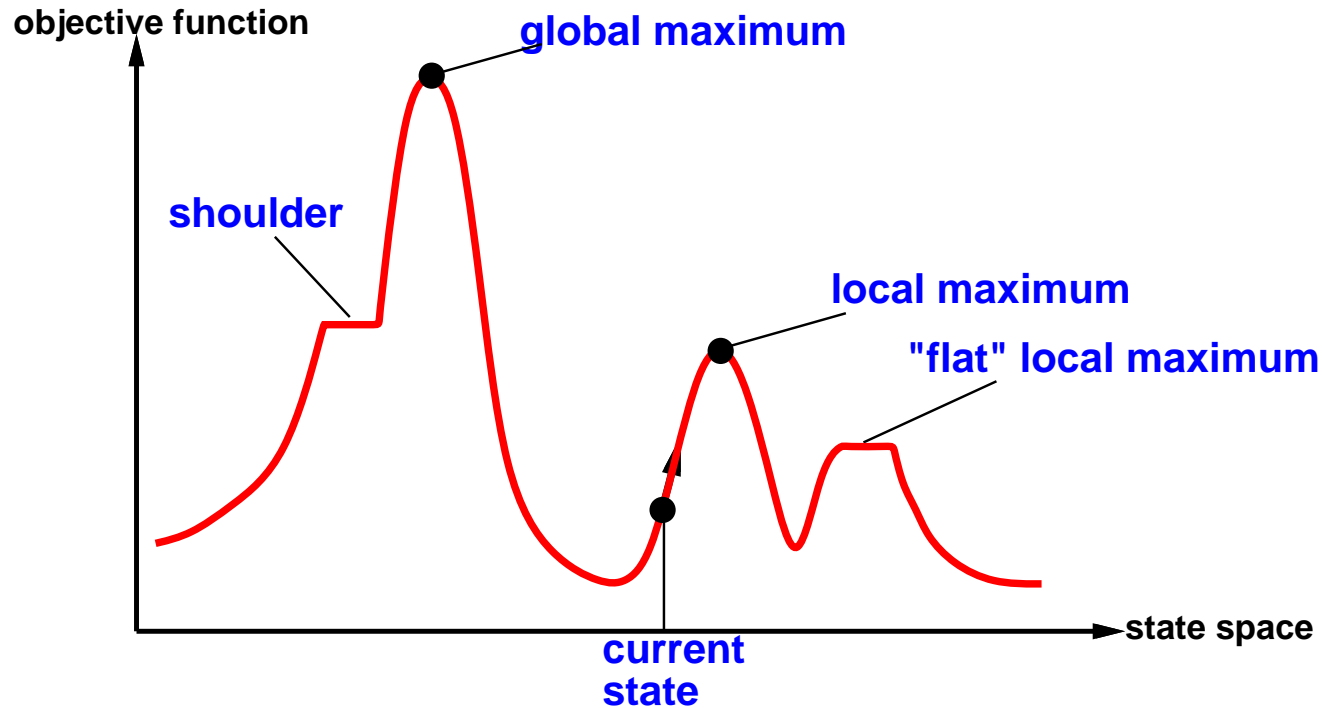
Greedy local search:  
always move to the neighbor with the maximal objective value.

```
1 hill-climbing( $X$ , succ,  $f$ ,  $x_0$ ) :  
2  $y := x_0$   
3 do  
4    $x := y$   
5    $y := \operatorname{argmax}_{y \in \operatorname{succ}(x, A)} f(y)$   
6 while  $f(y) > f(x)$   
7 return  $x$ 
```

For continuous state spaces / actions and differentiable objective functions:  
gradient descent/ascent.

## Hill-climbing / Steepest Descent/Ascent

State space landscape:



Random restart: try to overcome local maxima.

Random sideways move: try to overcome shoulders.  
(but restrict their number to avoid infinite loops on flat local maxima)

## Stochastic Hill-climbing

Idea:

like hill-climbing

but choose randomly among all improving actions  
proportional to their improvement.

```
1 hill-climbing-stochastic( $X$ , succ,  $f$ ,  $x_0$ ) :  
2  $y := x_0$   
3 do  
4    $x := y$   
5    $y \sim \text{multinomial}(\text{succ}(x, A))$  with  $p(y) := \frac{\max(0, f(y) - f(x))}{\sum_y \max(0, f(y) - f(x))}$ ,  $y \in \text{succ}(x, A)$   
6 while  $f(y) > f(x)$   
7 return  $x$ 
```

$p(y)$  is called the **acceptance probability** for neighboring state  $y$   
of  $x$ .

## Simulated Annealing

Idea:

like hill-climbing

but also allow deteriorating actions

slight deteriorations more often than severe deteriorations

less and less deteriorations as the search proceeds

```
1 simulated-annealing( $X$ , succ,  $f$ ,  $x_0$ ,  $T$ ) :  
2  $x := x_0$   
3 for  $k := 1$  to  $\infty$  while  $T(k) > 0$  do  
4    $y \sim \text{uniform}(\text{succ}(x, A))$   
5   if  $f(y) > f(x)$  or  $\text{random}() \leq \exp((f(y) - f(x))/T(k))$   
6      $x := y$   
7   fi  
8 od  
9 return  $x$ 
```

$T$  is called the **temperature schedule**,  $T \rightarrow 0$  for  $k$  growing.

## Beam Search

Idea:

like hill-climbing

but retain  $k$  best solutions in parallel.

```
1 beam-search( $X, \text{succ}, f, g, k$ ) :  
2  $S :=$  random subset of  $X$  of size  $k$   
3 while  $g(x) = 0 \forall x \in S$  do  
4      $S := \text{argmax}_{y \in \text{succ}(S,A)}^k f(y)$   
5 od  
6 return  $x \in S$  with  $g(x) = 1$ 
```

where  $\text{succ}(S, A) := \bigcup_{x \in S} \text{succ}(x, A)$  and  
 $\text{argmax}^k$  selects the  $k$  elements with maximum argument.

$S$  is called **population**, each state an **individual**.

This is different from  $k$  random restarts of hill-climbing!

## Genetic Algorithms

Idea:

like beam search

but combine two states to a new state

(represented as string/vector)

```

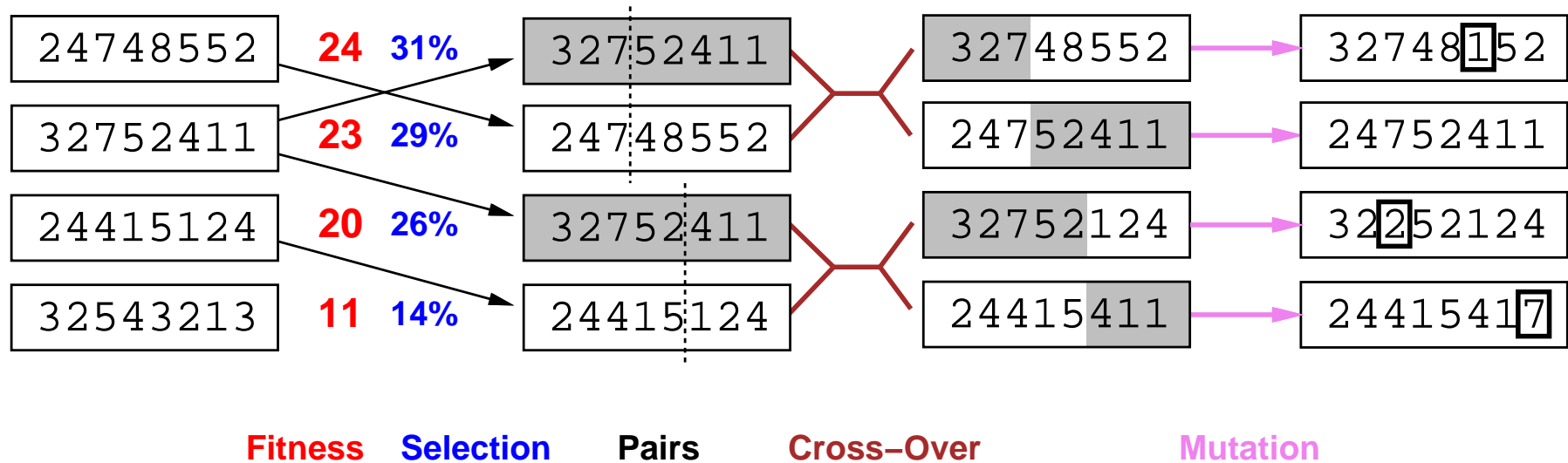
1 genetic-algorithm( $X, f, g, k$ ) :
2  $S :=$  random subset of  $X$  of size  $k$ 
3 while  $g(x) = 0 \forall x \in S$  do
4      $S' := \emptyset$ 
5     for  $i = 1 \dots k$  do
6          $x_1, x_2 \sim$  multinomial( $S$ ) with  $p(x) := \frac{f(x)}{\sum_{x' \in S} f(x')}$ ,  $x \in S$ 
7          $y :=$  combine( $x_1, x_2$ )
8         if (random() <  $p_{mutation}$ )  $y :=$  mutation( $y$ ) fi
9          $S' := S' \cup \{y\}$ 
10    od
11     $S := S'$ 
12 od
13 return  $x \in S$  with  $g(x) = 1$ 
14
15 combine( $x_1, x_2$ ) :
16  $n :=$  length( $x_1$ )
17  $c \sim$  uniform( $\{1, 2, \dots, n\}$ )
18 return concat( $x_1[1 \dots c], x_2[c + 1 \dots n]$ )

```

$f$  also is called **fitness** (and should be  $\geq 0$ ).



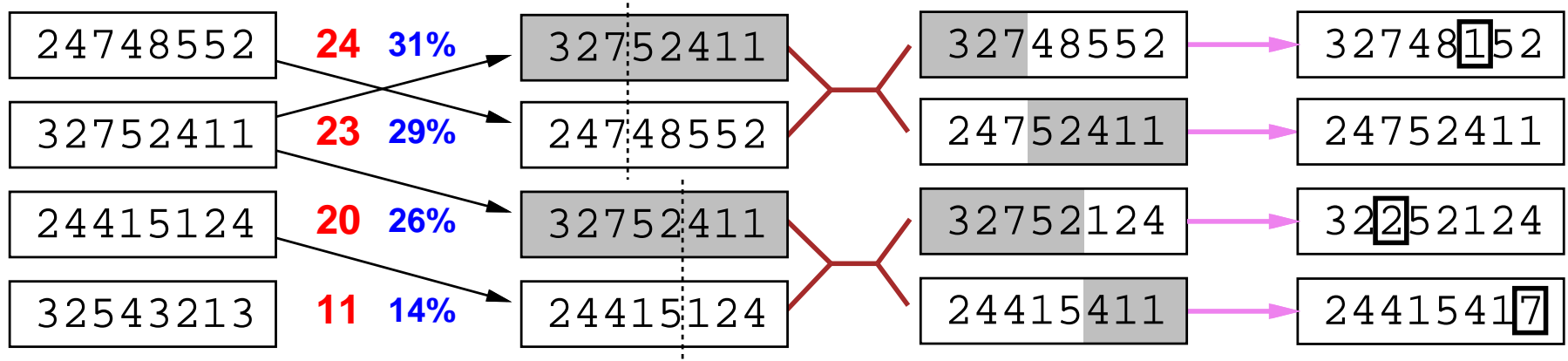
## Genetic Algorithms / Example



Genetic algorithms create triadic neighborhoods  
 pair of states → state  
 by means of combination/reproduction/cross-over.

To make sense, the string encoding must be such that close positions encode related properties of the candidate solution.

# Genetic Algorithms / Example



**Fitness**   **Selection**   **Pairs**   **Cross-Over**   **Mutation**

